

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
RD-28,432

In Re Application Of: THOMAS P. FEIST, ET AL.

Serial No.

09/681,813

JUN 13 2001

Filing Date

JUNE 11, 2001

Examiner

Group Art Unit

Title: DATA STORAGE MEDIA AND METHOD FOR PRODUCING THE SAME

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Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
RD-28,432

In Re Application of **THOMAS P. FEIST, ET AL.**

Serial No.

09/681,811

Filing Date

JUNE 11, 2001

Examiner

Group Art Unit

Title: **DATA STORAGE MEDIA AND METHOD FOR PRODUCING THE SAME**

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on JUNE 11, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Sonia Mancini*  
Signature of Person Mailing Correspondence

SONIA MANCINI

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Dated: JUNE 11, 2001

*Pamela J. CurbeLO*  
Signature  
**PAMELA J. CURBELO**  
REGISTRATION NO. 34,676  
CUSTOMER NO. 23413  
(860) 286-2929

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <small>(Use several sheets if necessary)</small>				ATTY DOCKET NO. <b>RD-28432</b>		SERIAL NO. <b>09/681,817</b>	
				THOMAS FEIST, ET AL.			
				FILING <b>JUNE 11, 2001</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,225,109	09/30/80	YOTSUTSUJI, ET AL.				
	4,879,082	11/07/89	KUDO, ET AL.				
	5,041,247	08/20/91	KIM				
	5,124,192	06/23/92	KIM, ET AL.				
	5,176,839	01/05/93	KIM				
	5,288,519	02/22/94	BAUMGARTNER, ET AL.				
	5,290,597	03/01/94	BAUMGARTNER, ET AL.				
	5,302,467	04/12/94	BAUMGARTNER, ET AL.				
	5,324,473	06/28/94	BARESICH				
	5,388,803	02/14/95	BAUMGARTNER, ET AL.				
	5,458,818	10/17/95	KIM, ET AL.				
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO	
	WO 89/10829	11/16/89	INTERNATIONAL				
	EP 0 489 335 A1	06/10/92	EUROPEAN				
	EP 0 640 458 A1	03/01/95	EUROPEAN				
	EP 0 796 713 A1	03/22/96	EUROPEAN				
	JP 630 71325	03/31/88	JAPAN				✓
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
	U. S. Patent Application "METHOD FOR INJECTION MOLDING OF OPTICAL DISCS" by Matthew F. Niemeyer, et al., Serial Number 08/874,235, Attorney Docket Number 8CT-5646, Filed June 13, 1997						
	Matthew F. Niemeyer and Thomas W. Hovatter, "BETTER SURFACE REPLICATION FOR DVD AND RECORDABLE DISCS THROUGH MANAGED HEAT TRANSFER", pp. 1-10 & 7 sheets of figures, presented at ITA-MOMS conference 10/96, San Francisco, CA.						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

